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Water use efficiency and yield response factor of common bean subjected to deficit irrigation strategies: a case study in Brazil

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20

21 **Abstract**

22 Water-saving strategies are important to cope with water shortages that affect irrigated agriculture.
23 To determine the water use efficiency (WUE) and yield response factor (Ky) of common bean
24 (*Phaseolus vulgaris* L.) grown under different deficit irrigation strategies, a rain shelter experiment
25 was conducted. Common bean was subjected to five water replacement levels: 100% of field capacity
26 (FC) throughout the growing season (M1; the reference treatment); 75% (M2) and 50% (M3) FC,
27 starting at 20 days after sowing until the end of the growing season; and 75% (M4) and 50% (M5)
28 FC at flowering. Grain yield (GY), yield components, WUE, and Ky were evaluated. Water use
29 efficiency under M3 and M4 was comparable to M1, the highest WUE obtained (1.55 kg m⁻³).
30 However, M3 significantly reduced GY (42%), which was mainly caused by the decrease in the
31 number of pods and grains per plant. Therefore, limiting water at 75% FC during flowering (M4)
32 could be viable to avoid yield gaps and maintain higher WUE in water scarce regions. Yield response
33 factor of common bean revealed that the greatest water savings were obtained with the M3 irrigation
34 strategy, reducing crop evapotranspiration by approximately 70%.

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36 **Keywords:** grain yield, irrigation water applied, *Phaseolus vulgaris*, water saving

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41 **Highlights**

- 42 1. Deficit irrigation strategies at different phenological stages of common beans were evaluated;
- 43 2. Water use efficiency and yield response factor of common bean were included;
- 44 3. Mild water stress of short duration did not reduce water use efficiency or grain yield;
- 45 4. The relationship between irrigation water applied and grain yield showed that water stress reduces
- 46 productivity independently of phenological stage;
- 47 5. Yield response factor of common bean revealed the possibility of obtaining reasonable grain yield
- 48 and water savings.

49

50 **1. Introduction**

51 Many regions where common bean (*Phaseolus vulgaris* L.) is produced are rainfed systems
52 which are susceptible to drought stress (Darkwa et al., 2016). Brazil, which is the largest world edible
53 producer of this crop, has 93% of the total area under rainfed conditions (FAOSTAT, 2024). It is
54 estimated that 60% of common bean production occurs under the risk of intermittent or flowering
55 drought stress (Beebe et al., 2013). These conditions cause yield reductions of common bean by up to
56 80% (Rosales et al., 2012; Lanna et al., 2016).

57 Irrigation is the best option for reducing yield gaps in agricultural crops by enabling the supply
58 of water in the appropriate quantity for each phase of the growing season (Kang et al., 2021). However,
59 water shortages as part of climate change are reducing the availability of water for agriculture

60 (Darkwa et al., 2016). Deficit irrigation plays a positive role in regions where water is scarce, saving
61 water as well as ensuring yield per unit of planted area (Geerts and Raes, 2009). Previous research
62 has focused on deficit irrigation at specific growth stages (Sánchez-Reinoso et al., 2020) and is scarce
63 on the water replacement levels at which common bean is most efficient in water use. In addition,
64 “all-stage” adaptation to drought is required for cultivation in dry environments, but in common bean
65 this strategy has been poorly studied. Therefore, different deficit strategies both in duration and
66 intensity are expected to help develop water-saving strategies in this crop.

67 One of the alternatives for evaluating drought response is water use efficiency (WUE), which is
68 defined as the ratio of dry matter production to water use (Geerts and Raes, 2009). Improved WUE
69 in common beans is important for leading to a rational use of resources without adverse effects on
70 production (Webber et al., 2006; Quiloango-Chimarro et al., 2022). The approach to increasing WUE
71 could be made by adopting technologies that increase the proportion of water that is transpired by the
72 crop, and increasing the crop’s capacity to produce biomass and yield per unit of water transpired
73 (Mathobo et al., 2017). An additional approach to consider involves examining the impact of drought
74 by assessing yield response factor (K_y) derived from the correlation between relative yield (compared
75 to yield potential) and relative evapotranspiration (compared to maximum evapotranspiration - no
76 stress), as outlined by Doorenbos and Kassan (1979). In the context of deficit irrigation, exploring
77 both WUE and yield response factor (K_y) can provide a comprehensive understanding of water saving
78 in common beans.

79 It was hypothesized that water deficit strategies reduce the water use of common bean without

significant reductions in grain yield. Therefore, the objectives of this study were to determine the water use efficiency and yield response factor of common bean under mild and moderate water deficit strategies, considering both the entire growing season and specific growth stages (vegetative and flowering).

2. Material and methods

2.1 Study site, field preparation, and treatment description

The experiment was carried out under rain shelter conditions in Piracicaba, São Paulo State, Brazil (22°46'39" S, 47°17'45" W, altitude of 570 m) from March to June 2020. The experimental area is specifically designed for water use efficiency experimentation (França et al., 2024; Quiloango-Chimarro et al., 2021) and consisted of a shelter with a ceiling height of 5.2 m, a transparent plastic cover shielded against UV rays, and a black screen on the sides that intercepted 50% of the incident radiation.

TAA Dama, a common bean cultivar, was sown in a single row per plot with an inter-row spacing of 0.1 m (10 plants·plot⁻¹). Each plot consisted of a large waterproofed container with an area of 0.43 m² and dimensions of 1.04 x 0.41 x 0.76 m (length, width, and depth) filled with soil characterized as Oxisol Typic Ustox with a sandy-loam texture, which was hydro-physically and chemically characterized before the beginning of the experiment. Soil characteristics in the 0-0.4 m layer were: pH (CaCl₂) = 5.4; Ca (mg·kg⁻¹) = 560.4; Mg (mg·kg⁻¹) = 84.7; K (mg·kg⁻¹) = 23.4; H + Al (mg·kg⁻¹) = 175.5; P (mg·kg⁻¹) = 21.4; S (mg·kg⁻¹) = 23.3, organic matter (g·kg⁻¹) = 9, dry bulk

100 density ($\text{kg}\cdot\text{m}^{-3}$) = 1600, field capacity ($\text{m}^3\cdot\text{m}^{-3}$) = 0.22, permanent wilting point ($\text{m}^3\cdot\text{m}^{-3}$) = 0.16,
101 sand (%) = 72.2, clay (%) = 19.7 and silt (%) = 8.0. Fertilization was conducted following the
102 guidelines for São Paulo state (van Raij et al., 1997). Phosphate and potassium fertilizer were applied
103 at rates of $70 \text{ kg P}_2\text{O}_5\cdot\text{ha}^{-1}$ and $45 \text{ kg K}_2\text{O}\cdot\text{ha}^{-1}$, respectively. All the phosphate was applied in the
104 sowing furrow, while potassium was divided into two soil cover applications (sowing and beginning
105 of flowering). Pesticide applications were made when necessary and weed control was conducted
106 manually throughout the growing season.

107 Air temperature, relative humidity, and global solar radiation were recorded inside the shelter
108 area at 2 m height and the reference evapotranspiration (ET_0) was calculated using the Penman-
109 Monteith method (Allen et al., 1998) (**Figure 1**).

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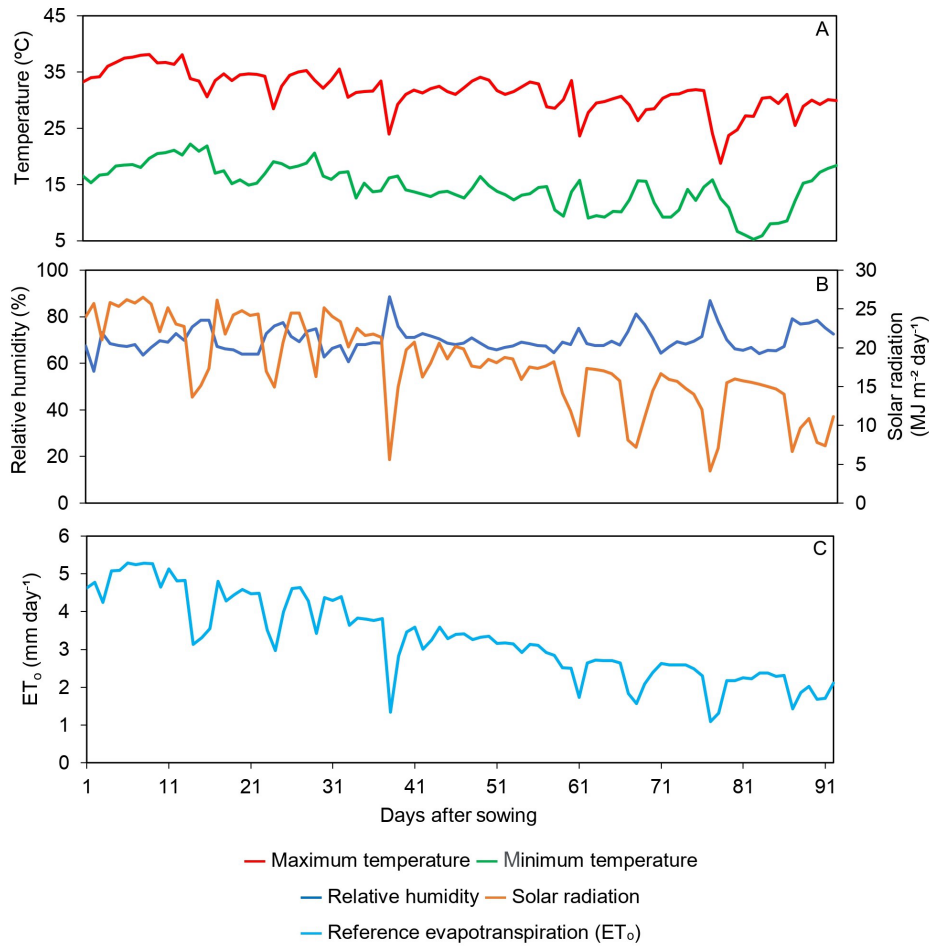


Figure 1. Maximum and minimum air temperature (A), relative humidity and solar radiation (B), and reference evapotranspiration (ET₀) (C) in the experimental area throughout the growing season.

During the experiment, the minimum daily temperature ranged from 5.9°C at 82 days after sowing (DAS) to 22.2°C at 14 DAS. In turn, the maximum temperature varied between 18.8°C and 38.1°C at 78 DAS and 13 DAS, respectively. In general, during the experimental period, the temperature remained within the ideal temperature range for common bean cultivation. The average value for global solar radiation recorded during the experimental period was 16.7 MJ·m⁻²·day⁻¹, with extremes of 26.5 and 4.1 MJ·m⁻²·day⁻¹ at 9 and 77 DAS, respectively. The average relative humidity

121 during the period was 71.7%, reaching a maximum value of 88.6% at 38 DAS and a minimum value
 122 of 56.6% at 2 DAS. The ET_o varied between 1.1 and 5.3 mm·day⁻¹ at 77 DAS and 9 DAS, respectively.

123 The irrigation treatments consisted of five water replacement levels with five replications
 124 distributed completely at random and included: irrigation at field capacity (FC) throughout the
 125 growing season (M1); 75 and 50% FC from 20 DAS until the end of the growing season, denominated
 126 M2 and M3, respectively; and 75 and 50% FC at flowering (from 40 to 61 DAS), denominated M4
 127 and M5, respectively. In this trial, 75% and 50% FC were considered as mild and moderate drought
 128 stress, respectively (**Figure 2**).

129



130

131 **Figure 2.** Experimental area (A) and experimental design used in this study (B). M1 - 100% of field
 132 capacity (FC) throughout the growing season; M2 - 75% FC from 20 days after sowing until the end

133 of the growing season; M3 - 50% FC from 20 days after sowing until the end of the growing season;
134 M4 - 75% FC at flowering; M5 - 50% FC at flowering; DAS - days after sowing; b - border.

135

136 2.2 Irrigation management

137 Irrigation water was provided through a drip irrigation system. A small drip line (1 m length)
138 with six emitters was installed in each plot. The emitters were spaced 0.15 m apart and had a flow
139 rate of 0.6 L·h⁻¹, resulting in a flow rate of 3.6 L·h⁻¹ per plot. All plots were controlled individually
140 with micro-registers from a control panel. In each replication of the M1 (full irrigation treatment), a
141 set of three tensiometers was installed at 0.1, 0.3, and 0.5 m depths, providing soil matric potential
142 records for the soil layers 0.0-0.2, 0.2-0.4, and 0.4-0.6 m, respectively, which were monitored every
143 other day. Irrigation for M1 was computed by applying water to bring the soil water to FC the first
144 two layers, while the third layer was used for drainage control. Irrigation was triggered when the soil
145 water potential reached -20 kPa at 0.1 m depth. Volumetric soil water content for each layer before
146 irrigation was estimated from matric potential readings using the van Genuchten approach (van
147 Genuchten, 1980). The other treatments (M2, M3, M4 and M5) received a fraction of the water
148 applied to M1. Plants were irrigated to FC until 20 DAS using the Penman-Monteith approach (K_c
149 initial = 0.35) as described by Allen et al. (1998), when seedlings were well established.

150

151 2.3 Yield measurement and calculation of WUE and Ky

152 At physiological maturity, plants from the central part of the row were harvested (5 plants) and

153 were dried in a forced-ventilation oven at 60 °C for 72 h. The number of pods per plant (PP), total
154 number of grains per plant (TNG), number of grains per pod (NGP) and grain yield (GY) (kg·ha⁻¹)
155 were obtained. WUE (kg·m⁻³) was calculated for each treatment as the ratio of the GY (kg·ha⁻¹) to
156 the total volume of irrigation water applied (IWU) (mm), using equation 1:

$$157 \quad WUE = \frac{GY}{IWU \cdot 10} \quad (1)$$

158 Ky was calculated for each treatment as the ratio of the relative yield ($1 - (Y_a \cdot Y_m^{-1})$) to the relative
159 evapotranspiration ($1 - (ET_a \cdot ET_m^{-1})$), using equation 2:

$$160 \quad Ky = \frac{1 - (Y_a \cdot Y_m^{-1})}{1 - (ET_a \cdot ET_m^{-1})} \quad (2)$$

161 where Y_a is the actual yield, Y_m is the maximum yield, ET_a is the actual evapotranspiration and
162 ET_m is the maximum evapotranspiration. A Ky value greater than 1 indicates that yield loss exceeds
163 the proportional reduction in water availability; a Ky value less than 1 suggests that yield loss is less
164 severe than the water deficit; and a Ky value equal to 1 means that yield reduction is directly
165 proportional to the water deficit. In this study, the yield and evapotranspiration of treatment M1 (100%
166 of FC throughout the growing season) were considered to be equal to Y_m and ET_m , respectively, and
167 the yield and evapotranspiration of the other treatments to be Y_a and ET_a . Actual evapotranspiration
168 represents the amount of water used by the crop, which in deficit irrigation treatments is typically
169 equal to the water supplied (Djaman and Irmak, 2012).

170

171 2.4 Statistical analysis

172 All the statistical analyses were performed with R Studio (R Project for Statistical Computing,

version 4.1.2). One-way analysis of variance (ANOVA) was performed after testing the homogeneity of variances and normality of the residuals by the Levene and Shapiro-Wilk tests, respectively. The means were compared with the Fisher Least Significant Difference (LSD) at 5% probability.

176

177 **3. Results and discussion**

178 *3.1 Irrigation water applied (IWU)*

179 The total amount of IWU to the experimental common bean differed depending on the strategies
180 irrigation treatments (**Figure 3**). 451, 357, 263, 403 and 355 mm of irrigation water were applied
181 throughout the growing season in treatments M1, M2, M3, M4 and M5, respectively. At the seedling
182 establishment stage (0 to 20 DAS) all treatments received 74 mm of irrigation water. In the vegetative
183 stage (21 to 39 DAS) the IWU in treatments M1, M4 and M5 was 89 mm and in treatments M2 and
184 M3 it was 67 and 44 mm. During flowering (40 to 61 DAS) the crop received the highest amount of
185 irrigation water, 190, 143, 95, 143 and 95 mm for treatments M1, M2, M3, M4 and M5, respectively.
186 During grain-filling to physiological maturity (62 to 92 DAS) the IWU was 97, 73, 49, 97 and 97 mm
187 for treatments M1, M2, M3, M4 and M5.

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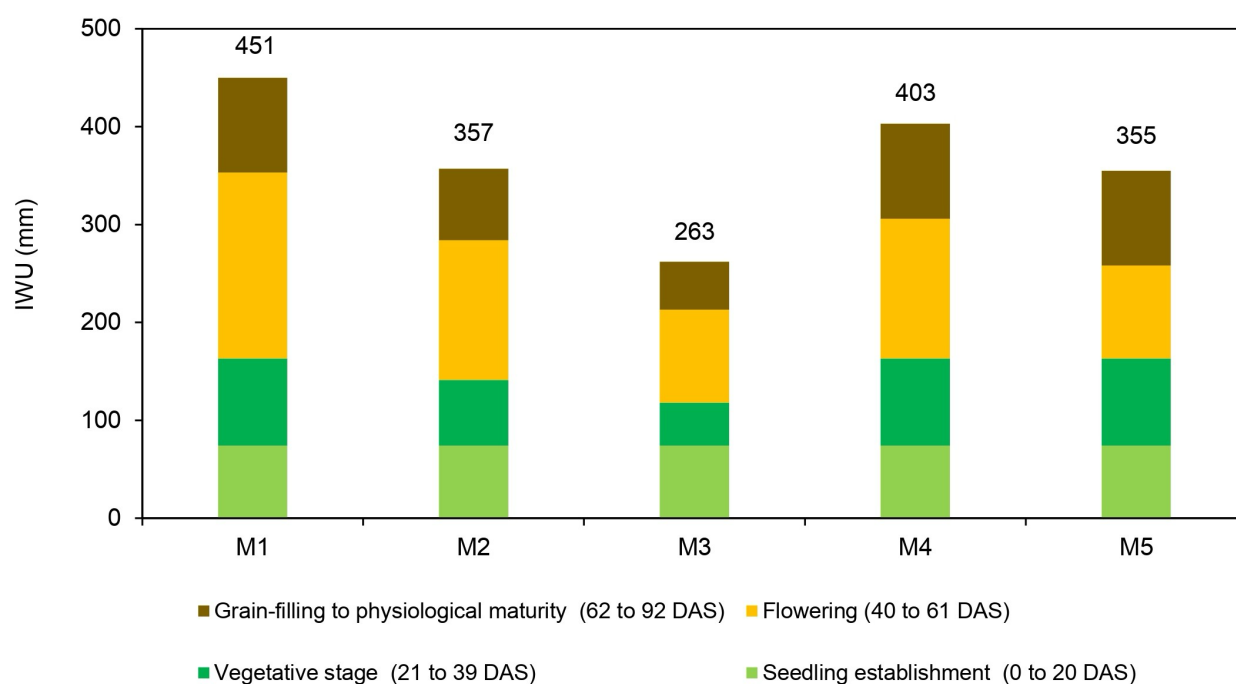


Figure 3. Irrigation water applied (mm) in the different phases of the growing season of common bean subjected to deficit irrigation strategies. M1 - 100% of field capacity (FC) throughout the growing season; M2 - 75% FC from 20 days after sowing until the end of the growing season; M3 - 50% FC from 20 days after sowing until the end of the growing season; M4 - 75% FC at flowering; M5 - 50% FC at flowering; DAS - days after sowing.

3.2 Grain yield and grain yield components

Grain yield decreased as drought stress increased, except for M4, which was similar to M1 (Table 1). Under field conditions, Calvache et al. (1997) reported significant yield decreases when water limiting was applied during all the growing season as well as at flowering. The yield penalty in common bean is variable due to differences in the timing and intensity of drought stress (Heinemann et al., 2016; Galvão et al., 2019; do Nascimento Silva et al., 2020). Therefore, the non-significant

202 yield reduction of M4 could be associated with the high frequency of irrigation and the water
203 replacement level used.

204

205 **Table 1.** Effect of deficit irrigation strategies on yield and yield components of common bean.

Treatment	Grain yield (kg·ha ⁻¹)	Pods per plant	Grains per pod	Grains per plant
M1	4625 ± 759 a	19.9 ± 3.8 a	4.6 ± 0.3	92 ± 15.3 a
M2	3145 ± 685 bc	14.4 ± 2.6 bc	4.5 ± 0.8	64 ± 9.7 bc
M3	2693 ± 404 c	11.9 ± 1.5 c	4.6 ± 0.2	56 ± 6.3 c
M4	3883 ± 849 ab	17.3 ± 3.8 ab	4.7 ± 0.6	83 ± 24.1 ab
M5	3202 ± 607 bc	15.9 ± 3.4 b	4.5 ± 0.3	68 ± 11.1 bc
LSD (0.05)	1071	4.7	ns	22

206 Each value represents the mean ± standard deviation. Treatments with the same letters within a
207 column do not differ from each other at the 5% probability level by the LSD test ($p < 0.05$). M1 =
208 100% of field capacity (FC) throughout the growing season; M2 = 75% FC from 20 days after sowing
209 until the end of the growing season; M3 = 50% FC from 20 days after sowing until the end of the
210 growing season; M4 = 75% FC at flowering; M5 = 50% FC at flowering. ^{ns}, no significant.

211

212 The grain yield penalty due to drought stress was mostly caused by the reduction in the number
213 of pods per plant (PP) and the low number of grains per plant (TNG). All deficit irrigation treatments
214 showed significant reductions in PP and TNG compared to M1, except M4. This was expected
215 because previous studies showed that the yield component most affected by drought stress is PP
216 (Nuñez Barrios et al., 2005; de Oliveira Neto et al., 2022), mainly by flower senescence and flower
217 abortion (Mathobo et al., 2017). The number of grains per pod (NGP) was similar for all irrigation
218 treatments, with an average of 4.5 grains per pod. Previous studies confirm that NGP is not susceptible

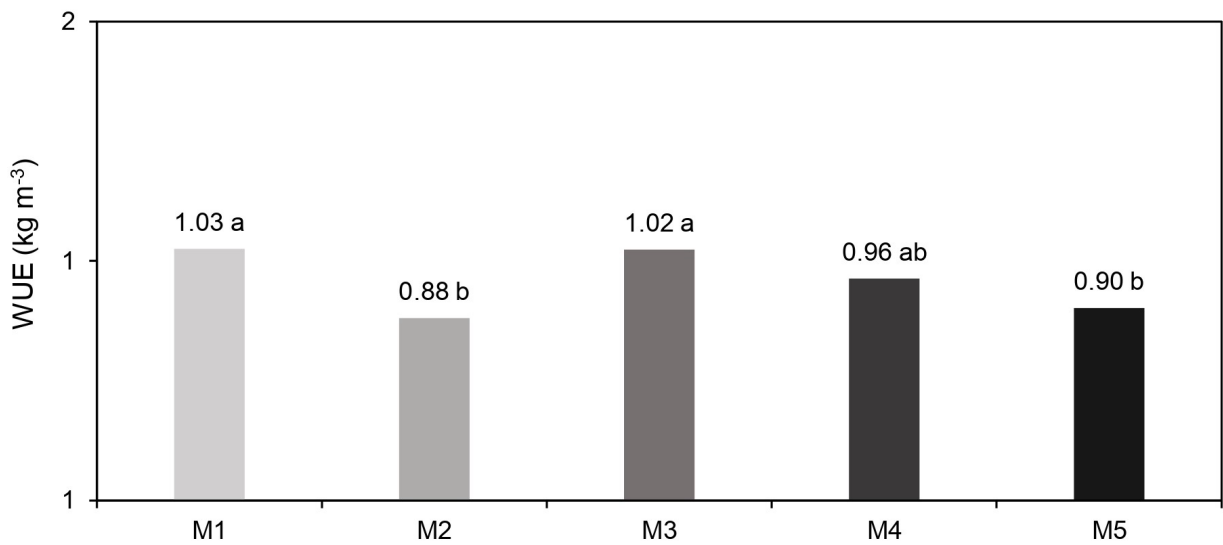
219 to drought stress (Acosta Gallegos & Shibata, 1989; Galvão et al., 2019), suggesting that limited
220 water in common bean does not disrupt the supply of assimilates to the pods.

221

222 *3.3 Water use efficiency (WUE)*

223 Water use efficiency in this study ranged from 1.03 to 0.90 kg·m⁻³ (**Figure 4**). The WUE of M3
224 and M4 was similar to that of M1, whereas it was reduced for M2 and M5. This could be because
225 common bean invests photosynthetic resources for root production per unit water used to extract more
226 water under drought conditions, but this strategy is insufficient to increase WUE for biomass and
227 grain (Webber et al., 2006). Considering that the yield penalty was significant for M3, the WUE of
228 M4 could be considered the best option to save water (a water reduction of 48 mm) while maintaining
229 a substantial yield (3.9 Mg·ha⁻¹). These results are also relevant because future drought stress patterns
230 for central Brazil suggest stress on the reproductive stage (Heinemann et al., 2016).

231



232

233 **Figure 4.** Effect of deficit irrigation strategies on water use efficiency (WUE) of common bean.

234 Treatments with the same letters do not differ from each other at the 5% probability level by the LSD

235 test ($p < 0.05$). M1 = 100% of field capacity (FC) throughout the growing season; M2 = 75% FC from

236 20 days after sowing until the end of the growing season; M3 = 50% FC from 20 days after sowing

237 until the end of the growing season; M4 = 75% FC at flowering; M5 = 50% FC at flowering.

238

239 *3.4 Yield response factor (Ky)*

240 The analysis of yield response factor in the context of different irrigation strategies revealed

241 distinct performances, focusing only on the impact of soil moisture while keeping all other production

242 variables constant (**Table 2**). Treatment M2 and M5 resulted in higher Ky values > 2.00 , showing

243 similar reductions not only for GY but also for evapotranspiration. Treatments M3 and M4 showed a

244 Ky of approximately 1.71 but were affected by different patterns of grain yield reduction and

245 evapotranspiration.

246

247 **Table 2.** Effect of deficit irrigation strategies on yield response factor (Ky) of common bean.

Treatment	Relative yield ($1 - (Y_a \cdot Y_m^{-1})$)	Relative evapotranspiration ($1 - (ET_a \cdot ET_m^{-1})$)	Yield response factor (Ky)
M1	0	0	-
M2	0.47	0.21	2.23
M3	0.72	0.42	1.71
M4	0.19	0.11	1.72
M5	0.44	0.22	2.00

248 M1 - 100% of field capacity (FC) throughout the growing season; M2 - 75% FC from 20 days after

249 sowing until the end of the growing season; M3 - 50% FC from 20 days after sowing until the end of

the growing season; M4 - 75% FC at flowering; M5 - 50% FC at flowering; Y_a - actual yield; Y_m – maximum yield; ET_a - actual evapotranspiration; ET_m - maximum evapotranspiration.

According to Smith and Steduto (2012), common beans are categorized as very sensitive to water stress (with K_y values of 1.15). This is consistent with this study where all deficit irrigation resulted in K_y values >1.70 . Among the tested strategies, the least impact in K_y was observed in M3 and M4. It is important to note, however, that water stress during flowering in common beans should be avoided, as a 10% reduction in evapotranspiration resulted in a 17.2% decrease in yield.

4. Conclusions

Water use efficiency (WUE) and yield response factor (K_y) can support decision-making when implementing deficit irrigation strategies in common bean. By analyzing both indicators, it was observed that the adoption of 50% field capacity (FC) throughout the growing season (M3) and 75% FC during flowering (M5) maintained WUE comparable to that of full irrigation (M1), while also resulting in a low K_y . However, since this study was conducted over a single cropping season, further research across multiple seasons is required to better understand the effects of deficit irrigation strategies in common bean.

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273

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